TRANSITION STRATEGY
ISO/TS 16949 › IATF 16949

September 2016
Business Assurance USA

Transition Timing Requirements

- After 1 October 2017 no audits (initial, surveillance, recertification or transfer) shall be conducted to ISO/TS 16949:2009.

- Organizations certified to ISO/TS 16949:2009 shall transition to the new IATF 16949, through a transition audit in line with the current audit cycle for ISO/TS 16949:2009 (i.e. at a regularly scheduled recertification audit or surveillance audit), according to the allowable timing requirements defined in the IATF Rules, section 5.1.1.
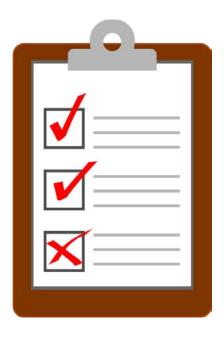
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Transition Timing Requirements

- The timing requirements are as follows:
  - If the next regularly scheduled audit was to be an annual surveillance audit, the transition audit shall meet the relevant timing for a 6 month (-1 month / +1 month), 9 month (-2 months / +1 month) or 12 month (-3 months / +1 month) audit cycle. In situations where the timing cannot be met, the certification body shall initiate the decertification process according to the IATF Rules, section 8.1 e). The relevant onsite audit shall be the rescheduled transition audit according to the IATF Rules, section 8.4.

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Transition Timing Requirements

- The timing requirements are as follows:

  - If the next regularly scheduled audit was to be a recertification audit, the allowable timing for this transition audit shall meet the recertification timing requirements for recertification audits according to the IATF Rules, section 5.1.1 (-3 months / +0 days).

  - Failure to conduct a transition audit according to the timing in the IATF Rules, section 5.1.1 (or the timing of the decertification process in the IATF Rules, section 8.4) requires the organization to start over with an initial certification audit

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Transition Audit Requirements

- The transition audit shall be the duration of a recertification audit according to the IATF Rules, Table 5.2.

- The transition audit shall be a full systems audit equivalent to a recertification audit and shall comply with all requirements defined in the IATF Rules, section 6.8.

Transition Audit Requirements

- The audit planning process shall comply with all requirements defined in the IATF Rules, section 5.7 with the following specific requirements:

  - An off-site documentation review shall be conducted prior to the transition audit. This off-site documentation review shall include as a minimum a review of the client's quality management system documentation (i.e. quality manual and procedures), including the evidences about conformity to IATF 16949 requirements.

  - If the organization does not provide the required information, the audit plan shall include a minimum of 0.5 additional audit days on site to collect and review the missing information prior to the one (1) hour on site meeting.

Transition Audit Requirements

- All supporting functions on site or remote shall be included in the transition process in line with the current ISO/TS 16949:2009 audit cycle and shall be included at the transition audit.

  - In exceptional circumstances, the remote supporting function may not have completed a transition audit to IATF 16949 prior to the transition audit at the manufacturing site(s) it supports. In this situation, a positive certification decision can be made to allow the manufacturing site to be certified to IATF 16949.

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Transition Audit Requirements

- To ensure clarity of which supporting functions have been audited and to which version of the automotive standard (i.e. IATF 16949 or ISO/TS 16949:2009), the transition audit report of the manufacturing site shall:

  - list all remote supporting functions, — list which version of the automotive standard the remote supporting function was audited to (ISO/TS 16949:2009 or IATF 16949), and

  - list the completion date of these audit(s) at the remote supporting function(s).

Transition Audit Requirements

- If the last audit at the remote supporting function was an audit to ISO/TS 16949:2009, the report shall include the scheduled or planned date for the transition audit to IATF 16949. The audit report of the remote support location shall also include clear statements about which version of the automotive standard the last internal system audit was conducted to (ISO/TS 16949:2009 or IATF 16949).

Transition Audit Requirements

- In exceptional circumstances, where the remote supporting function has not completed a transition audit to IATF 16949 prior to the transition audit at the relevant manufacturing site, the organization shall ensure a complete gap analysis, including a detailed action plan to fulfil the applicable requirements of IATF 16949, is available at the manufacturing site´s audit.

- In situations where the organization does not provide the gap analysis and detailed action plan, the audit at the manufacturing site shall be considered failed and the site shall be required to undertake a full initial audit.

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Transition Audit Nonconformity Management

- When the certification body identifies nonconformities at the transition audit, the client and the certification body shall comply with all requirements:

  – for the nonconformity management process as defined in the IATF Rules, section 5.11.

  – for the initiation of the decertification process as defined in the IATF Rules, section 8.1 c) and IATF Rules, section 8.2.

- If major nonconformities are identified at the transition audit, the suspension decision shall be in accordance with IATF Rules, section 8.3.

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IATF 16949 Certificate Issuance

- The certification body shall comply with all requirements of the certification decision process as defined in the IATF Rules, section 5.12 with the following specific requirements / approved deviations for transition audits:

  – The certification body can make a positive certification decision after the expiration date of the existing ISO/TS 16949:2009 certificate, as long as the decision is made within a maximum of 120 calendar days from the last day of the transition audit.

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IATF 16949 Certificate Issuance

- Upon a positive certification decision the certification body shall issue an IATF 16949 certificate to the organization. This certificate shall include the issue date (date of the positive certification decision) and the expiration date (issue date plus a maximum of three (3) years minus one (1) day). This new certificate shall bear a new IATF number.

Initial Certification to IATF 16949

- All organizations seeking initial certification can be certified to ISO/TS 16949:2009 until 1 October 2017 however the ISO/TS 16949:2009 certificate will only be valid until 14 September 2018.

- After 1 October 2017, the organization can ONLY be audited and certified to IATF 16949 standard.

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Thank you!

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